



POLO QUEEN INDUSTRIAL AND FINTECH LIMITED

Regd. Off : 303/4/ 5, A to Z Industrial Premises, G. K. Marg, Lower Parel (W),
Mumbai - 400 013 (INDIA)
CIN NO. L72200MH1984PLC094539

June 14, 2021

BSE Limited
1st Floor, New Trading Wing,
Rotunda Building, P. J. Towers,
Dalal Street, Fort,
Mumbai – 400001.
Scrip Code: 540717

Metropolitan Stock Exchange of India Ltd
Vibgyor Towers, 4th Floor,
Plot No.C-62, G- Block,
Bandra-Kurla Complex,
Bandra (East), Mumbai – 400098.
Symbol: PQIF

Subject: Submission of Secretarial Compliance Report for the Financial Year ended 31st
March, 2021

Dear Sir,

In compliance with SEBI Circular No. CIR/CFD/CMDII27/2019 dated February 8, 2019, please find enclosed Annual Secretarial Compliance Report under Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the Financial Year 2020-21 issued by Mrs. Grishma Khandwala, Practicing Company Secretary.

We request you to take the same on record and acknowledge receipt.

Thanking you,

Yours truly,

For Polo Queen Industrial and Fintech Limited

Vandana
Vandana Yadav
Company Secretary



Encl: As Above

Grishma Khandwala

Company Secretary

7/4, Indian Mercantile Mansions,
Madam Cama Road, Mumbai - 400 039.
Telephone : 2202 1256
Mobile : 98201 21028
E-mail : gkhandwala@yahoo.com

ANNUAL SECRETARIAL COMPLIANCE REPORT OF POLO QUEEN INDUSTRIAL
AND FINTECH LIMITED UNDER REGULATION 24A of SEBI (LISTING
OBLIGATIONS AND DISCLOSURE REQUIREMENT), REGULATIONS 2015,
FOR THE YEAR ENDED 31st MARCH, 2021

To,
Polo Queen Industrial and Fintech Limited
304, A to Z Industrial Estate,
Ganpatrao Kadam Marg,
Lower Parel,
Mumbai – 400013

With respect to Polo Queen Industrial and Fintech Limited, having CIN No. L72200MH 1984PLC094539 ('the listed entity'), I have examined, for the year ended 31st March, 2021 ('Review Period')

- (a) All the documents and records made available to me
- (b) The filings/ submissions made by the listed entity to the stock exchanges;
- (c) Any other document/ filing, as may be relevant, which has been relied upon to make this certification,

and as per the explanations provided by the listed entity, in respect of compliance with the provision of :

- (a) The Securities and Exchange Board of India Act, 1992 ('SEBI Act') and the Regulations, circulars, guidelines issued thereunder; and

- (b) The Securities Contract (Regulation) Act, 1956 ('SCRA'), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by Securities and Exchange Board of India ('SEBI').

The specific regulations, whose provisions and the circulars/guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirement) Regulation, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirement) Regulation 2015;
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulation, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulation, 2018; (not applicable to the Company during the Review Period)
- (e) Securities and Exchange Board of India (Share Based Employees Benefits) Regulation, 2014; (not applicable to the Company during the Review Period)