

*Grishma Khandwala*

Company Secretary

7/4, Indian Mercantile Mansions,  
Madam Cama Road, Mumbai - 400 039.  
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**CERTIFICATE UNDER REGULATION 40 (9) OF SEBI (LISTING OBLIGATIONS AND  
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED 30<sup>th</sup> SEPTEMBER, 2016**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **POLO QUEEN INDUSTRIAL AND FINTECH LIMITED** maintained by Satellite Corporate Services Private Limited, pertaining to transfer of equity shares of the Company for the period from **1<sup>st</sup> April, 2016 to 30<sup>th</sup> September, 2016** for the purpose of issuing a Certificate as per Regulation 40 (9) of SEBI (Listing Obligations and Disclosure Requirements), Regulations, 2015. I hereby certify that the Company has delivered during half year ended on 30<sup>th</sup> September, 2016.

- A) Share/Debenture Certificates relating to the Transfer of Shares/Debentures received during the period from 1<sup>st</sup> April, 2016 to 30<sup>th</sup> September, 2016 as entered in the Memorandum of Transfers have been issued within fifteen days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.
- B) Any other information, if applicable.

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**GRISHMA KHANDWALA**  
Company Secretary  
C.P. No. 1500  
7, Indian Mercantile Mansions,  
Madam Cama Road,  
Mumbai 400 039.

Place : Mumbai

Dated : 19<sup>th</sup> October, 2016