

# POLO QUEEN INDUSTRIAL AND FINTECH LIMITED

Regd. Off : 303/4/5, A to Z Industrial Premises, G. K. Marg, Lower Parel (W),  
Mumbai - 400013 (India)

CIN NO. L72200MH1984PLC094539

## Quarterly Compliance Report on Corporate Governance under clause 49 of the Listing Agreement

Name of the Company: POLO QUEEN INDUSTRIAL AND FINTECH LIMITED

Quarter ending on: 30.06.2015

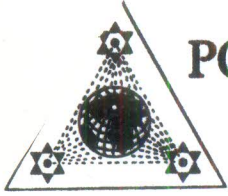
Particulars	Clause of Listing agreement	Compliance Status Yes/No/N.A	Remarks
<b>II. Board of Directors</b>	49 (II)	YES	<b>Complied</b>
(A) Composition of Board	49 (IIA)	YES	Complied
(B) Independent Directors	49 (IIB)	YES	Complied
(C) Non-executive Directors' compensation & disclosures	49 (IIC)	YES	Complied
(D) Other provisions as to Board and Committees	49 (IID)	YES	Complied
(E) Code of Conduct	49 (IIE)	YES	Complied
(F) Whistle Blower Policy	49 (IIF)	N.A	
<b>III. Audit Committee</b>	49 (III)	YES	Complied
(A) Qualified & Independent Audit Committee	49 (IIIA)	YES	Complied
(B) Meeting of Audit Committee	49 (IIIB)	YES	Complied
(C) Powers of Audit Committee	49 (IIIC)	YES	Complied
(D) Role of Audit Committee	49 (IIID)	YES	Complied
(E) Review of Information by Audit Committee	49 (IIIE)	YES	Complied
<b>IV. Nomination and Remuneration Committee</b>	49 (IV)	YES	<b>Complied</b>
<b>V. Subsidiary Companies</b>	49 (V)	N.A	
<b>VI. Risk Management</b>	49 (VI)	N.A	
<b>VII. Related Party Transactions</b>	49 (VII)	YES	<b>Complied</b>
<b>VIII. Disclosures</b>	49 (VIII)	YES	<b>Complied</b>
(A) Related party transactions	49 (VIIIA)	YES	<b>Complied</b>
(B) Disclosure of Accounting	49 (VIIIB)	YES	<b>Complied</b>

For POLO QUEEN INDUSTRIAL AND FINTECH LIMITED

  
Director/Authorised Signatory

Tel: (Off) 24935421 (4 Lines) 66615901 Fax: (91-22) 24935420 / 66615900

E-mail: info@poloqueen.com



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Treatment			
(C) Remuneration of Directors	49 (VIII C)	YES	<b>Complied</b>
(D) Management	49 (VIII D)	YES	<b>Complied</b>
(E) Shareholders	49 (VIII E)	YES	<b>Complied</b>
(F) Proceeds from public issues, rights issue, preferential issues, etc	49 (VIII F)	YES	<b>Complied</b>
IX. CEO/CFO Certification	49 (IX)	YES	<b>Complied</b>
X. Report on Corporate Governance	49 (X)	YES	<b>Complied</b>
XI. Compliance	49 (XI)	YES	<b>Complied</b>

For Polo Queen Industrial and Fintech Limited

Nandlal Sanghai

Compliance Officer