

General information about company

| | |
|---------------------------------|---|
| Scrip code | 540717 |
| NSE Symbol | NOT APPLICABLE |
| MSEI Symbol | PQIF |
| ISIN | INE689M01017 |
| Name of the entity | POLO QUEEN INDUSTRIAL AND FINTECH LIMITED |
| Date of start of financial year | 01-04-2018 |
| Date of end of financial year | 31-03-2019 |
| Reporting Quarter | Quarterly |
| Date of Report | 31-12-2018 |
| Risk management committee | Not Applicable |

Annexure I

Annexure I to be submitted by listed entity on quarterly basis

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory

Textual Information(1)

Is there any change in information of board of directors compare to previous quarter

Yes

Wether the listed entity has a Regular Chairperson

No

| Sr | Title (Mr / Ms) | Name of the Director | PAN | DIN | Category 1 of directors | Category 2 of directors | Category 3 of directors | Date of appointment in the current term | Date of cessation | Tenure of director (in months) | No of Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations) | Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations) | No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations) | Notes for not providing PAN | Notes for not providing DIN |
|----|-----------------|-----------------------|------------|----------|--|-------------------------|-------------------------|---|-------------------|--------------------------------|--|---|--|-----------------------------|-----------------------------|
| 1 | Mr | Nandlal Sanghai | AAQPS2301E | 00181592 | Non-Executive - Non Independent Director | Not Applicable | | 27-09-1993 | | | 1 | 1 | 0 | | |
| 2 | Mr | Prabhas Sanghai | ALJPS1248N | 00302947 | Non-Executive - Non Independent Director | Not Applicable | | 29-04-2008 | 15-11-2018 | | 0 | 0 | 0 | | |
| 3 | Mr | Umesh Kumar Agarwalla | ABUPA4482H | 00231799 | Executive Director | Not Applicable | | 27-09-1993 | | | 1 | 0 | 0 | | |
| 4 | Mr | Rahul Kumar Sanghai | ALJPS1255K | 00181745 | Non-Executive - Non Independent Director | Not Applicable | | 19-08-2000 | | | 1 | 0 | 1 | | |

Annexure I to be submitted by listed entity on quarterly basis

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory

| Sr | Title (Mr / Ms) | Name of the Director | PAN | DIN | Category 1 of directors | Category 2 of directors | Category 3 of directors | Date of appointment in the current term | Date of cessation | Tenure of director (in months) | No of Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations) | Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations) | No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations) | Notes for not providing PAN | Notes for not providing DIN |
|----|-----------------|----------------------|------------|----------|--------------------------------------|-------------------------|-------------------------|---|-------------------|--------------------------------|--|---|--|-----------------------------|-----------------------------|
| 5 | Mr | Udit P. Sanghai | AAJPS1625C | 06725206 | Executive Director | Not Applicable | | 01-01-2014 | | | 1 | 1 | 0 | | |
| 6 | Mr | Aftab Diamondwala | ABKPD4930F | 06946487 | Non-Executive - Independent Director | Not Applicable | | 19-08-2014 | | 60 | 1 | 0 | 0 | | |
| 7 | Mr | Aspi Katgara | ABAPK3683J | 06946494 | Non-Executive - Independent Director | Not Applicable | | 19-08-2014 | | 60 | 2 | 2 | 0 | | |
| 8 | Mr | Natwarlal Gaur | AIMPG5024A | 06945450 | Non-Executive - Independent Director | Not Applicable | | 19-08-2014 | | 60 | 1 | 0 | 1 | | |

Annexure I to be submitted by listed entity on quarterly basis

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory

| Sr | Title (Mr / Ms) | Name of the Director | PAN | DIN | Category 1 of directors | Category 2 of directors | Category 3 of directors | Date of appointment in the current term | Date of cessation | Tenure of director (in months) | No of Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations) | Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations) | No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations) | Notes for not providing PAN | Notes for not providing DIN |
|----|-----------------|----------------------|------------|----------|--------------------------------------|-------------------------|-------------------------|---|-------------------|--------------------------------|--|---|--|-----------------------------|-----------------------------|
| 9 | Mrs | Shobita Kadan | APFPK7134K | 07480597 | Non-Executive - Independent Director | Not Applicable | | 30-03-2016 | | 60 | 1 | 1 | 0 | | |

Text Block

Textual Information(1)

Mr. Prabhas Sanghai resigned as the Non-Executive Director of the Company w.e.f. 15th November, 2018.

Annexure 1

II. Composition of Committees

Disclosure of notes on composition of committees explanatory

Is there any change in information of committees compare to previous quarter

Yes

| Audit Committee Details | | | | | | | |
|---|------------|---------------------------|--------------------------------------|-------------------------|---------------------|-------------------|---------|
| Whether the Audit Committee has a Regular Chairperson | | | | | Yes | | |
| Sr | DIN Number | Name of Committee members | Category 1 of directors | Category 2 of directors | Date of Appointment | Date of Cessation | Remarks |
| 1 | 06945450 | Natwarlal Gaur | Non-Executive - Independent Director | Chairperson | 27-03-2015 | | |
| 2 | 06946494 | Aspi Katgara | Non-Executive - Independent Director | Member | 27-03-2015 | | |
| 3 | 06725206 | Udit P. Sanghai | Executive Director | Member | 27-03-2015 | | |

| Nomination and remuneration committee | | | | | | | |
|---|------------|---------------------------|--|-------------------------|---------------------|-------------------|---------|
| Whether the Nomination and remuneration committee has a Regular Chairperson | | | | | Yes | | |
| Sr | DIN Number | Name of Committee members | Category 1 of directors | Category 2 of directors | Date of Appointment | Date of Cessation | Remarks |
| 1 | 06946494 | Aspi Katgara | Non-Executive - Independent Director | Chairperson | 27-03-2015 | | |
| 2 | 06945450 | Natwarlal Gaur | Non-Executive - Independent Director | Member | 27-03-2015 | | |
| 3 | 00181745 | Rahul Kumar Sanghai | Non-Executive - Non Independent Director | Member | 27-03-2015 | | |

| Stakeholders Relationship Committee | | | | | | | |
|---|------------|---------------------------|--|-------------------------|---------------------|-------------------|---------|
| Whether the Stakeholders Relationship Committee has a Regular Chairperson | | | | | Yes | | |
| Sr | DIN Number | Name of Committee members | Category 1 of directors | Category 2 of directors | Date of Appointment | Date of Cessation | Remarks |
| 1 | 00181745 | Rahul Kumar Sanghai | Non-Executive - Non Independent Director | Chairperson | 27-05-2016 | | |
| 2 | 00302947 | Prabhas Sanghai | Non-Executive - Non Independent Director | Member | 27-05-2016 | 15-11-2018 | |
| 3 | 07480597 | Shobita Kadan | Non-Executive - Independent Director | Member | 17-11-2018 | | |
| 4 | 00181592 | Nandlal Sanghai | Non-Executive - Non Independent Director | Member | 17-11-2018 | | |

| Corporate Social Responsibility Committee | | | | | | | |
|---|------------|---------------------------|-------------------------|-------------------------|---------------------|-------------------|---------|
| Whether the Corporate Social Responsibility Committee has a Regular Chairperson | | | | | No | | |
| Sr | DIN Number | Name of Committee members | Category 1 of directors | Category 2 of directors | Date of Appointment | Date of Cessation | Remarks |

| Other Committee | | | | | | |
|-----------------|------------|---------------------------|-------------------------|-------------------------|-------------------------|---------|
| Sr | DIN Number | Name of Committee members | Name of other committee | Category 1 of directors | Category 2 of directors | Remarks |

Annexure 1

Annexure 1

III. Meeting of Board of Directors

| Disclosure of notes on meeting of board of directors explanatory | | | |
|--|---|--|---|
| Sr | Date(s) of meeting (if any) in the previous quarter | Date(s) of meeting (if any) in the current quarter | Maximum gap between any two consecutive (in number of days) |
| 1 | 10-08-2018 | | |
| 2 | | 23-10-2018 | 73 |

Annexure 1

IV. Meeting of Committees

| Disclosure of notes on meeting of committees explanatory | | | | | | | |
|--|-------------------------------------|---|--|---------------------------|---|--|-------------------------|
| Sr | Name of Committee | Date(s) of meeting of the committee in the relevant quarter | Whether requirement of Quorum met (Yes/No) | Requirement of Quorum met | Date(s) of meeting of the committee in the previous quarter | Maximum gap between any two consecutive meetings (in number of days) | Name of other committee |
| 1 | Audit Committee | 23-10-2018 | Yes | 3 | 10-08-2018 | 73 | |
| 2 | Stakeholders Relationship Committee | 22-10-2018 | Yes | 2 | 10-08-2018 | 72 | |

Annexure 1

V. Related Party Transactions

| Sr | Subject | Compliance status (Yes/No/NA) | If status is "No" details of non-compliance may be given here. |
|----|--|-------------------------------|--|
| 1 | Whether prior approval of audit committee obtained | Yes | |
| 2 | Whether shareholder approval obtained for material RPT | NA | |
| 3 | Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee | NA | |

Annexure 1

VI. Affirmations

| Sr | Subject | Compliance status (Yes/No) |
|----|--|----------------------------|
| 1 | The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015 | Yes |
| 2 | The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee | Yes |
| 3 | The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee | Yes |
| 4 | The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee | Yes |
| 5 | The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 100 listed entities) | NA |
| 6 | The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. | Yes |
| 7 | The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. | Yes |
| 8 | This report and/or the report submitted in the previous quarter has been placed before Board of Directors. | Yes |

Annexure 1

| Sr | Subject | Compliance status |
|----|-------------------|--|
| 1 | Name of signatory | NAMRATA VANAMALA |
| 2 | Designation | Company Secretary and Compliance Officer |

Signatory Details

| | |
|-----------------------|--|
| Name of signatory | NAMRATA VANAMALA |
| Designation of person | Company Secretary and Compliance Officer |
| Place | Mumbai |
| Date | 14-01-2019 |

